

National Incident Management System

FY 2008 NIMS Compliance

Incident Management Systems Integration Division

National Integration Center

March 2008



FEMA

Past NIMS Compliance

- All State, territory, local and tribal jurisdictions that receive Federal preparedness assistance awards in the form of grants, cooperative agreements and direct contracts have, as a condition of receiving this funding, the requirement to be in compliance with the NIMS (HSPD-5)
- FYs 2005-2006: Self Certification
 - Initial measures have been reached and “good faith efforts” underway to achieve compliance with requirements
 - Official Self-Certification Letters were submitted



Past NIMS Compliance (continued)

- FY 2007
 - DHS/FEMA Required to Monitor compliance of NIMS at state level
 - **States no longer could self-certify NIMS compliance**
 - **All jurisdictions had to answer Compliance metrics to assess their implementation of NIMS**
 - NIMS Compliance Assistance Support Tool (NIMSCAST) made available to track and report compliance for all jurisdictions



FY 2008 NIMS Compliance Summary

- Matrix summarizes all on-going NIMS Compliance Objectives (implementation activities) prescribed by the Incident Management Systems Integration (IMSI) Division in FYs 2005-2007
- **One** new activity for States and territories
- **Four** new activities for Tribal Nations
- **Three** new activities for Local Jurisdictions
- **All** Implementation activities from previous fiscal years remain on-going commitments
- **All** jurisdictions must continue to support all implementation activities required or underway in order to achieve full NIMS compliance



NIMS Compliance Summary

- Future refinement of the NIMS will evolve as policy and technical issues are further developed and clarified
- With the completion of the FY 2007 activities, State, territorial, tribal and local jurisdictions now have the foundational support for future NIMS implementation
- An effective and consistent implementation of the NIMS nationwide results in a strengthened national capability to prevent, prepare for, respond to and recover from any type of incident



NIMS Compliance Summary

- States/Territories must complete their respective metrics assessment in NIMSCAST prior to end of FY2008
- Current NIMSCAST is undergoing an update to reflect FY 2008 metrics
- **Completed NIMSCAST report is required for a jurisdiction to be eligible for FY 2009 FEMA preparedness awards**



FY 2008 NIMS Compliance Objectives: NIMS Adoption

COMPLIANCE OBJECTIVE	Fiscal Year		
	State/ Territory	Tribal Nation	Local Government
1. Adopt NIMS for all Departments/Agencies; as well as promote and encourage NIMS adoption by associations, utilities, nongovernmental organizations (NGOs) and private sector emergency management and incident response organizations.	2005	2005	2005
2. Establish and maintain a planning process to communicate, monitor and implement all NIMS requirements across the State/Territory/ Tribal Nation (including Departments/Agencies), to include local governments. This process must provide a means for measuring progress and facilitate reporting.	2006	2007	N/A
3. Designate and maintain a single point of contact within government to serve as principal coordinator for NIMS implementation jurisdiction-wide (to include a principal coordinator for NIMS implementation within each Department/Agency.	2006	2007	2007
4. Ensure that Federal Preparedness Awards [to include, but not limited to, DHS Homeland Security Grant Program and Urban Area Security Initiative Funds] to State/Territorial Departments/Agencies, as well as local governments, support all required NIMS compliance Objectives.	2005	2008	2008
5. Audit agencies and review organizations should routinely include NIMS compliance requirements in all audits associated with Federal Preparedness Awards.	2006	2008	2008
6. Assist Tribal Nations with formal adoption and implementation of NIMS.	2007	N/A	N/A



FY 2008 NIMS Compliance Objectives: NIMS Preparedness - Planning

COMPLIANCE OBJECTIVE	Fiscal Year		
	State/ Territory	Tribal Nation	Local Government
7. Revise and update emergency operations plans (EOPs), standard operating procedures (SOPs), and standard operating guidelines (SOGs) to incorporate NIMS and National Response Framework (NRF) components, principles and policies, to include planning, training, response, exercises, equipment, evaluation, and corrective actions.	2005	2005	2005
8. Promote and/or develop intrastate and interagency mutual aid agreements and assistance agreements (to include agreements with the private sector and NGOs).	2005	2005	2005



FY 2008 NIMS Compliance Objectives: NIMS Preparedness - Training

COMPLIANCE OBJECTIVE	Fiscal Year		
	State/ Territory	Tribal Nation	Local Government
9. Use existing resources such as programs, personnel and training facilities to coordinate and deliver NIMS training requirements.	2006	2008	2008
10. Complete <i>IS-700 NIMS: An Introduction</i> or equivalent by appropriate personnel (as identified in the Five-Year NIMS Training Plan, February 2008).	2006	2006	2006
11. Complete <i>IS-800 National Response Framework (NRF): An Introduction</i> or equivalent by appropriate personnel (as identified in the Five-Year NIMS Training Plan, February 2008).	2006	2006	2006
12. Complete <i>ICS-100 Introduction to ICS</i> training or equivalent by appropriate personnel (as identified in the Five-Year NIMS Training Plan, February 2008).	2006	2006	2006
13. Complete <i>ICS-200 ICS for Single Resources and Initial Action Incidents</i> training or equivalent by appropriate personnel (as identified in the Five-Year NIMS Training Plan, February 2008).	2006	2006	2006
14. Complete <i>ICS-300 Intermediate ICS</i> training or equivalent by appropriate personnel (as identified in the Five-Year NIMS Training Plan, February 2008).	2007	2007	2007



FY 2008 NIMS Compliance Objectives: NIMS Preparedness - Exercises

COMPLIANCE OBJECTIVE	Fiscal Year		
	State/ Territory	Tribal Nation	Local Government
15. Incorporate NIMS concepts and principles into all appropriate State/Territorial training and exercises.	2005	2005	2005
16. Plan for and/or participate in an all-hazards exercise program [for example, Homeland Security Exercise and Evaluation Program] that involves emergency management/response personnel from multiple disciplines and/or multiple jurisdictions.	2006	2006	2006
17. Incorporate corrective actions into preparedness and response plans and procedures.	2006	2006	2006



FEMA

FY 2008 NIMS Compliance Objectives: Communication and Information Management

COMPLIANCE OBJECTIVE	Fiscal Year		
	State/ Territory	Tribal Nation	Local Government
18. Apply common and consistent terminology as used in NIMS, including the establishment of plain language (clear text) communications standards.	2005	2005	2005
19. Utilize systems, tools, and processes to present consistent and accurate information (e.g., common operating picture) during an incident/planned event.	2006	2006	2006



FY 2008 NIMS Compliance Objectives: Resource Management

COMPLIANCE OBJECTIVE	Fiscal Year		
	State/ Territory	Tribal Nation	Local Government
20. Inventory response assets to conform to NIMS National Resource Typing Definitions, as defined by FEMA Incident Management Systems Integration Division.	2006	2006	2006
21. Ensure that equipment, communications and data systems acquired through State/Territorial and local acquisition programs are interoperable.	2006	2006	2006
22. Utilize response asset inventory for intrastate and interstate mutual aid requests [such as Emergency Management Assistance Compact (EMAC)], training, exercises, and incidents/planned events.	2007	2007	2007
23. Initiate development of a State/Territory-wide system to credential emergency management/response personnel to ensure proper authorization and access to an incident including those involving mutual aid agreements and/or assistance agreements.	2008	2008	2008



FY 2008 NIMS Compliance Objectives: Command and Management: ICS

COMPLIANCE OBJECTIVE	Fiscal Year		
	State/ Territory	Tribal Nation	Local Government
<p>24. Manage all incidents/ planned events in accordance with ICS organizational structures, doctrine and procedures. ICS implementation must include the consistent application of Incident Action Planning (IAP), common communications plans, implementation of Area Command to oversee multiple incidents that are handled by separate ICS organizations or to oversee the management of a very large or evolving incident that has multiple incident management teams engaged, and implementation of unified command (UC) in multi-jurisdictional or multiagency incident management, as appropriate</p>	2006	2006	2006



FY 2008 NIMS Compliance Objectives: Command and Management: MACS

COMPLIANCE OBJECTIVE	Fiscal Year		
	State/ Territory	Tribal Nation	Local Government
25. Coordinate and support emergency management and incident response Objectives through the development and use of integrated multi-agency coordination systems, i.e. - develop and maintain connectivity capability between local Incident Command Posts (ICP), local 911 Centers, local Emergency Operations Centers (EOCs), the State/Territorial EOC and Regional and/Federal EOCs and NRF organizational elements.	2006	2006	2006



FEMA

FY 2008 NIMS Compliance Objectives:

Command and Management:

Public Information

COMPLIANCE OBJECTIVE	Fiscal Year		
	State/ Territory	Tribal Nation	Local Government
26. Institutionalize, within the framework of ICS, Public Information, [e.g., Joint Information System (JIS) and a Joint Information Center (JIC)] during an incident/planned event.	2006	2006	2006
27. Ensure that Public Information procedures and processes can gather, verify, coordinate, and disseminate information during an incident/planned event.	2007	2007	2007



FEMA

Beyond FY 2008...

- 12 New Projected Objectives identified for FY 2009
- 4 New Projected Objectives Identified For FY 2010
 - Derived from newly-released *Five-Year NIMS Training Plan*
- Projected Objectives do not represent the future fiscal year's NIMS Compliance program in its entirety



FY 2009 Preview

1. Complete *ICS-400 Advanced ICS* training or equivalent by appropriate personnel (as identified in the *Five-Year NIMS Training Plan*, February 2008)
2. Complete Emergency Management Framework Course—Awareness Training (as identified in the *Five-Year NIMS Training Plan*, February 2008)
3. Include preparedness organizations and elected and appointed officials in the development of emergency operations plans (EOPs)
4. Plan for special needs populations in the development of EOPs (to include, but not limited to, individuals with limited English language proficiency; individuals with disabilities; children; the aged, etc.)
5. Include NGOs and the private sector in an all-hazards exercise program, when appropriate
6. Promote the integration of Incident Command, Multiagency Coordination System, and Public Information into appropriate exercises and evaluate against associated target capabilities (refer to HSEEP Volume III and the Exercise Evaluation Guides)



FY 2009 Preview (continued)

7. Institute procedures and protocols for operational and information security during an incident/planned event
8. Institute multidisciplinary and/or multi-jurisdictional procedures and protocols for standardization of data collection and analysis to utilize or share information during an incident/planned event
9. Develop procedures and protocols for communications (to include voice, data, access to geospatial information, Internet/Web use, and data encryption), where applicable, to utilize or share information during an incident/planned event
10. Institute policies, plans, procedures and protocols to prevent spontaneous deployment of resources/personnel and/or responding to a request that bypassed official resource coordination processes (i.e., resources requested through improper channels)
11. Institute mechanisms to deploy, track, recover, demobilize, and to provide reimbursement for resources utilized during response and recovery
12. Utilize access control measures during an incident, as appropriate



FY 2010 Preview

1. Complete *ICS-701: Multiagency Coordination Systems* training or equivalent by appropriate personnel (as identified in the *Five-Year NIMS Training Plan*, February 2008).
2. Complete *ICS-702: NIMS Public Information Systems* training or equivalent by appropriate personnel (as identified in the *Five-Year NIMS Training Plan*, February 2008).
3. Complete *ICS-703: NIMS Resource Management* training or equivalent by appropriate personnel (as identified in the *Five-Year NIMS Training Plan*, February 2008).
4. Complete *ICS-704 NIMS Communications and Information Management* training or equivalent by appropriate personnel (as identified in the *Five-Year NIMS Training Plan*, February 2008).



FEMA

Questions?

